

20 de abril | das 17h30 às 19h30 | Auditório 631

**THE ROLE OF CREDIT RATINGS AND CREDIT RATING AGENCIES
IN THE CAPITAL MARKETS****Uma conferência com Sherman Boone**

SHERMAN G. BOONE: Sherman Boone is an Assistant Director in the US Securities and Exchange Commission's Office of International Affairs. He has planning and policy coordination responsibility for SEC relations with foreign financial and regulatory authorities, including the Commission's involvement in multilateral organizations such as IOSCO, the Financial Stability Board, IMF and OECD. These relations also encompass the SEC's participation in bilateral economic and financial policy dialogues – including those with the European Commission, Japan, China and India – and coordination of G20 and FSB crisis-response and regulatory reform initiatives. Mr. Boone currently represents the US on the FSB Working Groups revising the Compendium of Standards and reviewing experience with Peer Reviews, and co-chairs the OECD-MENA Capital Markets Task Force.



Prior to joining the SEC in 2004, Mr. Boone served as Senior International Economist with the US Treasury and Acting Deputy Director of the Office of International Banking and Securities Markets. In that capacity, he was extensively involved in efforts to reform the international financial architecture and strengthen national financial systems, including creation of the Financial Stability Forum, and was US representative to the OECD Corporate Governance Steering Group in the wake of the Enron and WorldCom scandals and passage of the Sarbanes-Oxley Act. Mr. Boone also managed multiple initiatives to improve the delivery of financial regulator training, enhance bank supervision and develop capital markets and strengthen financial sectors in the Middle East and North Africa, South and East Asia, and Latin America.

In 1997-98, Mr. Boone served as Director for International Economic Affairs with the National Security Council and National Economic Council at the White House. There, he assisted the US "Sherpa" with preparations for the G8 Summits in Denver and Birmingham and provided staff support for the President's senior economic and foreign policy advisors on a range of issues involving the EU, Russia, Ukraine and Korea; anti-bribery and investment treaties; the Africa Growth and Investment Act; and international tobacco control initiatives.

Mr. Boone's earlier Treasury Department experience included serving as staff director for the Committee on Hemispheric Financial Issues; monitoring Mexico's financial markets and coordinating support package repayment issues; and working with the multilateral development banks in promoting financial market and private capital development in emerging and transition economies. Mr. Boone was actively involved in the NAFTA environmental agreement, and the first Summit of the Americas and MicroCredit Summit. He previously was engaged in trade and investment, sovereign debt and asset claims matters in Eastern Europe and the Soviet Union, and served in the Caribbean as a Foreign Service Officer with the US Department of State. In addition to his US Government service, Mr. Boone spent ten years as an investment banker and financial advisor involved in a variety of sovereign, municipal and corporate financings. Mr. Boone is a graduate of Grinnell College and Princeton University's Woodrow Wilson School. He resides in Kensington, Maryland, with his wife and daughter.

Com o apoio:



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